

# Integrable models from the Boundary Quantum Inverse Scattering Method

Inna Lukyanenko, Phillip Isaac, Jon Links

*Centre for Mathematical Physics,  
School of Mathematics and Physics,  
The University of Queensland*



THE UNIVERSITY  
OF QUEENSLAND  
AUSTRALIA

# History

- ▶ Quantum Inverse Scattering Method (QISM) for periodic boundary conditions [Faddeev 1979]
- ▶ QISM for open-boundary conditions [Sklyanin 1987]

## R-matrix

**R-matrix** is an operator  $R(u) \in \text{End}(V \otimes V)$  ( $V \cong \mathbb{C}^2$ ,  $u \in \mathbb{C}$ ) satisfying the **Yang-Baxter equation** in  $\text{End}(V \otimes V \otimes V)$ :

$$R_{12}(u - v)R_{13}(u)R_{23}(v) = R_{23}(v)R_{13}(u)R_{12}(u - v).$$

### I. Trigonometric solution

$$R^{trig}(u) = \frac{1}{\sinh(u + \eta)} \begin{pmatrix} \sinh(u + \eta) & 0 & 0 & 0 \\ 0 & \sinh u & \sinh \eta & 0 \\ 0 & \sinh \eta & \sinh u & 0 \\ 0 & 0 & 0 & \sinh(u + \eta) \end{pmatrix}.$$

### II. Rational solution

$$R^{rat}(u) = \frac{1}{u + \eta} (uI \otimes I + \eta P) = \frac{1}{u + \eta} \begin{pmatrix} u + \eta & 0 & 0 & 0 \\ 0 & u & \eta & 0 \\ 0 & \eta & u & 0 \\ 0 & 0 & 0 & u + \eta \end{pmatrix},$$

# QISM

**Monodromy matrix** (acts in  $\text{End}(V_a \otimes V^{\otimes \mathcal{L}})$ ,  $V_a = \mathbb{C}^2$  auxiliary space)

$$T_a(u) \equiv \begin{pmatrix} e^{-\eta\alpha} & 0 \\ 0 & e^{\eta\alpha} \end{pmatrix} R_{a\mathcal{L}}(u - \varepsilon_{\mathcal{L}}) \dots R_{a2}(u - \varepsilon_2) R_{a1}(u - \varepsilon_1) = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix}$$

satisfies the Yang-Baxter equation in  $\text{End}(V_a \otimes V_b \otimes V^{\otimes \mathcal{L}})$ :

$$R_{ab}(u - v) T_a(u) T_b(v) = T_b(v) T_a(u) R_{ab}(u - v).$$

**Transfer matrix**  $t(u) \equiv \text{tr}_a(T_a(u)) = A(u) + D(u) \in \text{End}(V^{\otimes \mathcal{L}})$ ,

$$\boxed{[t(u), t(v)] = 0 \quad \forall u, v \in \mathbb{C}.}$$

Consider the expansion of  $t(u)$  in powers of  $u$ :

$$t(u) = \sum_{j=-\infty}^{\infty} C_j u^j.$$

Then  $C_j$  form a set of mutually commuting **integrals of motion**.

## Bethe Ansatz

Start with a **reference state**,  $\Omega \in V$ , such that

$$B(u)\Omega = 0, \quad A(u)\Omega = a(u)\Omega, \quad D(u)\Omega = d(u)\Omega, \quad C(u)\Omega \neq 0,$$

Then (for the **trigonometric  $R$ -matrix**)

$$\Phi(v_1, \dots, v_N) = C(v_1)\dots C(v_N)\Omega$$

is an **eigenstate** of  $t(u)$  with the **eigenvalue**

$$\Lambda(u, v_1, \dots, v_N) = a(u) \prod_{k=1}^N \frac{\sinh(u - v_k + \eta)}{\sinh(u - v_k)} + d(u) \prod_{k=1}^N \frac{\sinh(u - v_k - \eta)}{\sinh(u - v_k)},$$

if  $\Phi \neq 0$  and  $v$ 's satisfy the **Bethe Ansatz equations**

$$\frac{a(v_k)}{d(v_k)} = \prod_{j \neq k}^N \frac{\sinh(v_k - v_j - \eta)}{\sinh(v_k - v_j + \eta)}, \quad k = 1, \dots, N.$$

For the **rational  $R$ -matrix**:  $\sinh(x) \rightarrow x$ .

## Quasi-classical limit

Now we take the quasi-classical limit:  $\eta \rightarrow 0$ .

Spin operators

$$S^+ = S^x + iS^y = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad S^- = S^x - iS^y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix},$$

$$S^x = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad S^y = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad S^z = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

## I. Trigonometric case

► **BAE:**

$$(\alpha + N - 1 - \mathcal{L}/2) + \sum_{l=1}^{\mathcal{L}} \frac{y_k^2}{y_k^2 - y_j^2} = 2 \sum_{j \neq k}^N \frac{y_k^2}{y_k^2 - y_j^2}.$$

► **Integrals of motion:**

$$T_j = 2 \sum_{k \neq j}^{\mathcal{L}} \frac{z_j^2 + z_k^2}{z_j^2 - z_k^2} S_j^z S_k^z + \sum_{k \neq j}^{\mathcal{L}} \frac{2z_k z_j}{z_j^2 - z_k^2} \left( S_k^- S_j^+ + S_k^+ S_j^- \right) - 2\alpha S_j^z.$$

► **Eigenvalues:**

$$\Lambda_j = \alpha + \frac{1}{2} \sum_{k \neq j}^{\mathcal{L}} \frac{z_j^2 + z_k^2}{z_j^2 - z_k^2} - \sum_{i=1}^N \frac{z_j^2 + y_i^2}{z_j^2 - y_i^2}.$$

## II. Rational case

► **BAE:**

$$\alpha + \frac{1}{2} \sum_{l=1}^{\mathcal{L}} \frac{1}{v_k - \varepsilon_l} = \sum_{j \neq k}^N \frac{1}{v_k - v_j},$$

► **Integrals of motion:**

$$T_j = \sum_{k \neq j} \frac{2S_k^z S_j^z + S_k^- S_j^+ + S_k^+ S_j^-}{\varepsilon_j - \varepsilon_k} - 2\alpha S_j^z,$$

► **Eigenvalues:**

$$\Lambda_j = \alpha + \frac{1}{2} \sum_{k \neq j}^{\mathcal{L}} \frac{1}{\varepsilon_j - \varepsilon_k} - \sum_{i=1}^N \frac{1}{\varepsilon_j - v_i}.$$

## Reflection equations

Start with a **rational**  $R$ -matrix  $R(u) = \frac{1}{u + \eta}(uI \otimes I + \eta P)$ .

In addition to YBE we want it to satisfy **reflection equations**:

$$\begin{cases} R_{12}(u - v)K_1^-(u)R_{21}(u + v)K_2^-(v) = K_2^-(v)R_{12}(u + v)K_1^-(u)R_{21}(u - v), \\ R_{12}(v - u)K_1^+(u)\mathcal{R}_{21}(u + v)K_2^+(v) = K_2^+(v)\mathcal{R}_{12}(u + v)K_1^+(u)R_{21}(v - u), \end{cases}$$

where  $\mathcal{R}(u) \equiv R(-u - 2\eta)$ . Easy to check that

$$K^-(u) = \zeta I + u\sigma^z = \begin{pmatrix} \zeta + u & 0 \\ 0 & \zeta - u \end{pmatrix},$$

$$K^+(u) = \xi I + (u + \eta)\sigma^z = \begin{pmatrix} \xi + u + \eta & 0 \\ 0 & \xi - u - \eta \end{pmatrix}.$$

satisfy these equations. They are called the **reflection matrices**.

# BQISM

Define the **double monodromy matrix** (acting in  $V_a \otimes V^{\otimes \mathcal{L}}$ )

$$\begin{aligned} T_a(u) \equiv & R_{a\mathcal{L}}(u - \varepsilon_{\mathcal{L}}) \dots R_{a1}(u - \varepsilon_1) K_a^-(u + \rho/2) \times \\ & \times R_{a1}^{-1}(-u - \varepsilon_1 - \rho) \dots R_{a\mathcal{L}}^{-1}(-u - \varepsilon_{\mathcal{L}} - \rho) = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix}. \end{aligned}$$

It satisfies the Yang-Baxter equation in  $V_a \otimes V_b \otimes V^{\otimes \mathcal{L}}$ :

$$R_{ab}(u - v) T_a(u) R_{ba}(u + v + \rho) T_b(v) = T_b(v) R_{ab}(u + v + \rho) T_a(u) R_{ba}(u - v).$$

Define the **transfer matrix**

$$t(u) \equiv \text{tr}_a (K_a^+(u + \rho/2) T_a(u)) = (\xi + u + \rho/2 + \eta) A(u) + (\xi - u - \rho/2 - \eta) D(u).$$

In this case one can also show that

$$[t(u), t(v)] = 0 \quad \forall u, v \in \mathbb{C}.$$

## Bethe Ansatz equations

Shift  $u \rightarrow u - \frac{\eta}{2}$  and introduce  $\tilde{A}(u) = (2u + \rho)A(u) - \eta D(u)$ .

$$\Phi(v_1, \dots, v_N) = C(v_1) \dots C(v_N) \Omega$$

is an **eigenstate** of  $t(u)$  with the **eigenvalue**

$$\begin{aligned} \Lambda(u, v_1, \dots, v_N) &= \tilde{a}(u) \frac{\xi + u + \rho/2 + \eta/2}{2u + \rho} \prod_{k=1}^N \frac{(u - v_k + \eta)(u + v_k + \rho + \eta)}{(u - v_k)(u + v_k + \rho)} + \\ &+ d(u) \frac{(2u + \rho + \eta)(\xi - u - \rho/2 + \eta/2)}{2u + \rho} \prod_{k=1}^N \frac{(u - v_k - \eta)(u + v_k + \rho - \eta)}{(u - v_k)(u + v_k + \rho)}, \end{aligned}$$

if  $\Phi \neq 0$  and  $v$ 's satisfy the **Bethe Ansatz equations (BAE)**

$$\frac{\tilde{a}(v_k)}{d(v_k)(2v_k + \rho - \eta)} \frac{\xi + v_k + \rho/2 + \eta/2}{\xi - v_k - \rho/2 + \eta/2} = \prod_{j \neq k}^N \frac{(v_k - v_j - \eta)(v_k + v_j + \rho - \eta)}{(v_k - v_j + \eta)(v_k + v_j + \rho + \eta)}.$$

Can **renormalize**, so that it goes to non-boundary case as  $\rho \rightarrow \infty$ .

## Quasi-classical limit

Consider **two limiting cases:**

1.  $\xi = \eta\alpha, \quad \zeta = \eta\beta.$
2.  $\xi = \eta^{-1}\gamma^{-1}, \quad \zeta = \eta^{-1}\delta^{-1}.$

**Notation:**  $y_k = v_k + \frac{\rho}{2}, \quad z_I = \varepsilon_I + \frac{\rho}{2}.$

## Case 1: $\xi = \eta\alpha$ , $\zeta = \eta\beta$

► **BAE:**

$$-(\alpha + \beta + 1) + \sum_{l=1}^{\mathcal{L}} \frac{y_k^2}{y_k^2 - z_l^2} = \sum_{j \neq k}^N \frac{2y_k^2}{y_k^2 - y_j^2}.$$

► **Integrals of motion:**

$$\begin{aligned} T_j &= \sum_{k \neq j}^{\mathcal{L}} \frac{z_j^2 + z_k^2}{z_j^2 - z_k^2} 2S_j^z S_k^z + \sum_{k \neq j}^{\mathcal{L}} \frac{2z_j z_k}{z_j^2 - z_k^2} (S_k^- S_j^+ + S_k^+ S_j^-) + \\ &+ (2N - \mathcal{L} + 2(\alpha + \beta)) N_j - N. \end{aligned}$$

► **Eigenvalues:**

$$\Lambda_j = - \sum_{i=1}^N \frac{2z_j^2}{z_j^2 - y_i^2}.$$

Case 2:  $\xi = \eta^{-1}\gamma^{-1}$ ,  $\zeta = \eta^{-1}\delta^{-1}$

► **BAE:**

$$-(\delta + \gamma) + \sum_{l=1}^{\mathcal{L}} \frac{1}{y_k^2 - z_l^2} = \sum_{j \neq k}^N \frac{2}{y_k^2 - y_j^2}.$$

► **Integrals of motion:**

$$T_j = \sum_{k \neq j}^{\mathcal{L}} \frac{2P_{kj}}{z_j^2 - z_k^2} + (\gamma + \delta)\sigma_j^z.$$

► **Eigenvalues:**

$$\Lambda_j = - \sum_{i=1}^N \frac{1}{z_j^2 - y_i^2} - (\gamma + \delta).$$

## Conclusions and Outlook

	Periodic	Boundary (1)	Boundary (2)	Boundary (general)
Rat.	s-wave	s-wave	p+ip-wave	?
Trig.	p+pi-wave	p+ip-wave(?)	?	??

Thank you for your attention!

- [1] E.K. Sklyanin, J. Phys. A: Math. Gen. **21**, 2375 (1988).
- [2] T. Skrypnyk, J. Stat. Mech.: Theor. Exp. P06028 (2010).